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### PATENT APPLICATION Docket No: 16058.3

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of		)
	James Douglas Farfor	)
Serial No.:	10/502,002	) Art Unit ) 3725
Filed:	July 19, 2004	) 3723
Confirmation No.:	8973	)
For:	CUTTING AND FOLDING MACHINE	)

#### CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on the 22<sup>nd</sup> day of June 2005.

- Transmittal for Information Disclosure Statement (3 pages)
- Information Disclosure Statement (2 pages)
- Form PTO-1449 listing 14 references (2 pages)
- A copy of 4 Non-US references list on the Form PTO-1449
- Postcard

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant Registration No. 37,246

Customer No. 022913

Telephone No. 801.533.9800

DLT:dfw W:\16058\3\DFW0000012990V001.DOC RICK D. NYDEGGER BRENT P. LORIMER THOMAS R. VUKSINICK LARRY R. LAYCOCK JONATHAN W. RICHARDS DAVID R. WRIGHT JOHN C. STRINGHAM JOHN M. GUYNN CHARLES L. ROBERTS Dana L. Tangren ERIC L. MASCHOFF CHARLES J. VEVERKA ROBYN L. PHILLIPS RICHARD C. GILMORE \* STERLING A. BRENNAN R. BURNS ISRAELSEN DAVID R. TODD DAVID B. DELLENBACH L. DAVID GRIFFIN



Adrian J. Lee Fraser D. Roy Carl T. Reed

R. PARRISH FREEMAN, JR. PETER F. MALEN, JR. L. REX SEARS, Ph.D. WILLIAM R. RICHTER ERIC M. KAMERATH ROBERT E. AYCOCK JENS C. JENKINS MICHAEL B. DODD KEVIN W. STINGER WILLIAM J. ATHAY RYAN D. BENSON SARA D. JONES TIGE KELLER Janna L. Jensen MATTHEW D. TODD J. LaVar Oldham MICHAEL J. FRODSHAM IOSEPH L. KRUPA BRETT A. HANSEN BRETT I. JOHNSON MATTHEW A. BARLOW Wesley C. Rosander Andrew S. Hansen CHAD E. NYDEGGER JOSEPH G. PIA CLINTON E. DUKE RYAN N. FARR \* JAMES B. BELSHE KIRK R. HARRIS KEELY SCHNEITER MICHAEL M. BALLARD DAVID A. JONES SHANE K. JENSEN JONATHAN M. BENNS, PH.D.

VERNON R. RICE §
OF COUNSEL

### WORKMAN | NYDEGGER

INTELLECTUAL PROPERTY ATTORNEYS

1000 EAGLE GATE TOWER
60 EAST SOUTH TEMPLE
SALT LAKE CITY, UTAH 84111
TELEPHONE: (801) 533-9800
FAX: (801) 328-1707

WEBSITE: HTTP://www.wnlaw.com

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### TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

<u>X</u>	Statement of relevance of selected cited references not in the English language which are not translated.
	Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.
	Statement that selected cited references were previously cited by or submitted to the United States Patent and Trademark Office in a prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.

A.	<u>Additi</u>	ional Materials Required Due to Content of Information Disclosure Statement			
		are the following documents in addition to the Information Disclosure d variously under 37 C.F.R. § 1.98:			
X	Form	Form PTO-1449 listing 14 references submitted for consideration.			
<u>X</u>	A cop	A copy of 4 Non-US references listed on the Form PTO-1449.			
_	_	English translations of () of the references listed on the Form PTO-1449 which are not in the English language.			
		Copies of the following documents from the prosecution of a previous, related application:			
	***********	Form PTO-1449 AND INFORMATION DISCLOSURE STATEMENT; and			
		Form PTO-892			
B.	Additi Staten	ional Materials Required Due to Timing of Filing of Information Disclosure nent			
The to		ted Information Disclosure Statement is being filed within one (1) of the ne periods:			
I.	X	Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.			
II.		Following the latter of either three (3) months following the filing date or the mailing of a first Office Action, but before the mailing of a final Office Action or a Notice of Allowance. Accordingly, to secure consideration thereof, one (1) of the following is also enclosed:			
		Promptness Certification; or			
		Check No in the amount of \$ constituting the submission fee set forth in 37 C.F.R. § 1.17(p).			
III.	_	After the mailing of a Notice of Allowance, but before payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:			
		Promptness Certificate;			
		Petition for Consideration: and			

			Check No. in the amount of \$ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).		
	IV.		After payment of the Issue Fee. Accordingly, in order to secure consideration thereof, each of the following are also enclosed:		
			Petition to Withdraw from Issue; and		
			Check No in the amount of \$ constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).		
	C.	<u>Fees</u>			
	The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.				
	<u>X</u>	Any fee required in relation to filing of this letter or any documents transmitted therewith.			
	_	The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).			
		The su	abmission fee set forth in 37 C.F.R. § 1.17(p).		
		The pe	etition fee set forth in 37 C.F.R. § 1.17(i)(1).		
	Dated	this 22 <sup>r</sup>	day of June 2005.		
Dogwootfully sylpmitted					

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant Registration No. 37,246 Customer No. 022913

Telephone No. 801.533.9800

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PATENT APPLICATION
Docket No: 16058.3



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In re application of	)	
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Serial No.:	10/502,002	) Art Unit
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For:	CUTTING AND FOLDING MACHINE	)

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed listed references are disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof that is not a US Patent document is also enclosed.

# Statement of Relevance of References Listed Unaccompanied by English Translation Under 37 CFR § 1.98(a)(3)

In accordance with 37 CFR § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided.

German Application No. DE 29820 463 U1: discloses a hair dyeing foil, comprising of a folded aluminum foil coated with a plastic layer on its inner face.

Dated this 22<sup>nd</sup> day of June 2005.

Respectfully submitted,

DANA L. TANGREN Attorney for Applicant Registration No. 37,246 Customer No. 022913

Telephone No. 801.533.9800

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Applicant:

James Douglas Farfor

Serial No.: Filing Date:

10/502,002

For:

July 19, 2004

**CUTTING AND FOLDING MACHINE** 

Sheet 1 of 2

Confirmation No.: 8973 Att'y Docket No.: 16058.3

Group: 3725



### INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

### **U.S. Patent Documents**

Examiner <u>Initial*</u>	Document Number	Issue <u>Date</u>	<u>Name</u>
1	3,955,515	05/11/1976	Elsas
2	3,977,586	08/31/1976	Hertrich
3	4,269,130	05/26/1981	Burton et al.
4	4,406,251	09/27/1983	Neri et al.
5	4,885,896	12/12/1989	Romagnoli
6	5,056,539	10/15/1991	Abramson
7	5,007,443	04/16/1991	Fulgoni
8	5,058,609	10/22/1991	Sandoz et al.
9	5,090,591	02/25/1992	Long
10	5,335,679	08/09/1994	Baxter
9	5,090,591	02/25/1992	Long

### Foreign Patent Documents

Examiner <u>Initial</u> *	Document Number	PublicationDate	Country or Patent Office	Translation
11	74242/87	12/17/1987	Australia	N/A
12	0 136 982 A1	04/10/1985	EPO	N/A
13	298 20 463 U1	05/11/2000	Germany	No
14	WO 95/23535	09/08/1995	PCT	N/A

Examiner:	Date Considered:	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449

Applicant:

James Douglas Farfor

Serial No.: Filing Date:

10/502,002

For:

July 19, 2004

**CUTTING AND FOLDING MACHINI** 

Sheet 2 of 2 Confirmation No.: 8973 Att'y Docket No.: 16058.3

Group: 3725

#### Other Documents

(including author, title, pertinent pages, etc.)

Examiner Initial\*

### References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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Examiner:	Date Considered:	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.